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Tel: (718) 357-8836 • Fax: (718) 357-8615 e-mail: kitchanlaw@aol.com

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FROM : ALBERT WAI-KIT CHAN

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DOCKET : CHEUNG, Nai-Kong V. for THERAPY-ENHANCING  
GLUCAN, U.S. Serial No. 10/621,027, Filed July 16, 2003,  
continuation-in-part of International Application No.  
PCT/US02/01276, Filed January 15, 2002, claiming benefit  
of U.S. Serial No. 60/261,911, filed on 16 January 2001 -  
SK939-PCT; Our Dkt. #639-B-PCT-US

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Dkt. #639-B-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG  
U.S. Serial No.: 10/621,027  
Filed : July 17, 2003  
For : THERAPY-ENHANCING GLUCAN

Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357

January 9, 2004

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Printed Name: Farieza Juman

Respectfully submitted,



Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicant  
Law Offices of  
Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357  
Tel: (718) 357-8836  
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e-mail: kitchanlaw@aol.com

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Whitestone, New York 11357

January 9, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

COMMUNICATION TO CORRECT DECEMBER 15, 2003 FILING RECEIPT

Applicant hereby files this Communication to Correct December 15, 2003 Filing Receipt which was issued by the United States Patent and Trademark Office. A copy of December 15, 2003 Filing Receipt is attached as **Exhibit A** (2 pages). There is no deadline for filing this Communication, so this Communication is being timely filed.

The December 15, 2003 Receipt is missing the Applicant's middle initial and Residence information. The correct name and the city and country of residence for applicant should read: Nai-Kong V. Cheung, Purchase, New York.

Applicant respectfully requests that the correct name be amended and residence be added, and that an updated Filing Receipt be issued to reflect these changes.

JV  
JCWSCS 12 JAN 2004

JAN-12-2004 12:04 FROM: LAW OFFICES-A. CHAN 7183578615

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P.001

## Law Offices of Albert Wai-Kit Chan, LLC

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U.S. Serial No.: 10/621,027  
Filed : July 16, 2003  
For : THERAPY-ENHANCING GLUCAN

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Whitestone, NY 11357

January 12, 2004

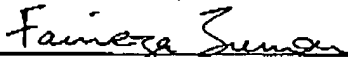
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Printed Name: Farieza Juman

Respectfully submitted,



Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicant  
Law Offices of  
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January 12, 2004

Commissioner for Patents  
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The December 15, 2003 Receipt is missing the Applicant's middle initial and Residence information. The correct name and the city and country of residence for applicant should read: Nai-Kong V. Cheung, Purchase, New York.

The above-referenced application was filed on July 16, 2003, but the Filing Date on the return postcard was listed incorrectly as July 17, 2003. As proof of the correct Filing Date, Applicant hereby submits a copy of the history of the Express Mail shipment showing acceptance of the package on



Applicant : Nai-Kong V. CHEUNG  
U.S. Serial No.: 10/621,027  
Filed : July 16, 2003  
Page : 2

July 16, 2003, in Flushing, New York hereto attached as Exhibit B (3 pages)

Applicant respectfully requests that the name of the inventor be amended, the residence of the inventor be added, the Filing Date be corrected, and that an updated Filing Receipt be issued to reflect these changes.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

Albert Wai Kit Chan

Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicant  
Law Offices of  
Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
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E-mail: kitchanlaw@aol.com

# Exhibit A



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10/621,027	<del>07/17/2003</del> 07/16/2003	1623	417	#639-B-PCT-US	36	20	4

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CONFIRMATION NO. 2089

## FILING RECEIPT

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Date Mailed: 12/15/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Nai-Kong Cheung, Residence Not Provided;~~ Nai-Kong V. Cheung, Purchase, NY

## Assignment For Published Patent Application

SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH;

## Domestic Priority data as claimed by applicant

This application is a CIP of PCT/US02/01276 01/15/2002  
 which claims benefit of 60/261,911 01/16/2001

## Foreign Applications

If Required, Foreign Filing License Granted: 12/15/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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Therapy-enhancing glucan

Preliminary Class  
514

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